(06 3DWLHQW &DUH 5HSRUWV 3&5¶V 5HFRUGV 5HTXH

5HFRUG UHTXHVWV FDQ DOVR WDNH XS WR VHYHQ EXVLQHVV GD\V WR SURFHVV DQG ZH PD\ QRW EH DEOH WR SURYLGH FRPSOHV
0LVVLQJ LQIRUPDWLRQ FDQ GHOD\ RU GHQ\ WKH UHTXHVW LQ RUGHU WR VHUYH \RX EHWWHU SOHDVH DQVZHU DOO TXHVWLRQV IRU HDFK
UHTXHVW
$ FRPSOHWHG UHTXHVW FDQ EH VFDQQHG DQG VXEPLWWHG YLD HPDLO WR PDLOHG WR 8QLYHUVLW\ )LUH 'HSD
&KDQGDODU 'ULYH)DLUEDQNV $.

3DWLHQW 1DPH BBBBBBBBBBBBBBBBBBBBBBBBB ,QFLGHQW 'DWH BBBBBBB 7LPH BBBBBB
,QFLGHQW LI NQRZQ BBBBBBBBBBBBBBBBBBBBBBBB
,QFLGHQW $GGUHVV /RFDWLRQ BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
,V SDWLHQW D PLQRU" <HV 1R
,I <HV 'DWH RI %LUWK BBBBBBBBBBBBBBB

:KDW LV WKH UHTXHVWLQJ SDUW\¶V UHODWLRQVKLS WR WKH SDWLHQW" FKRRVH RQH
6HOI 3DWLHQW 3DUHQW *XDUGLDQ $XWKRUL]HG 5HSUHVHQWDWLYH RI WKH VXEMHFW

$XWKRUL]HG 5HSUHVHQWDWLYH ,QIRUPDWLRQ
5HTXHVWHG %\ BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
5HTXHVWLQJ $JHQF\ 1DPH BBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBBB
5HTXHVWRU¶V $GGUHVV &LW\ 6WDWH =LS &RGH BBBBBBBBBBBBBBBBBBBBBBBB
&RQWDFW 3KRQH BBBBBBBBBBBBBBBBBBBBBBBBBBBB)D[BBBBBBBBBBBB

,GHQWLILFDWLRQ 5HTXLUHG
5HFRUGV RU UHSRUWV PD\ RQO\ EH UHOHDVHG WR WKH SDWLHQW RU WKHLU SHUVRQDO UHSUHVHQWDWLYH LQ RUGHU WR SURWH
KHDOWK LQIRUPDWLRQ SHU 3+,3$$ DQG WKH SULYDF\ RI WKH SDWLHQW $ OLVW RI
UHTXLUHPHQWV LV QRWHG EHORZ

5HTXHVW E\ SDWLHQW SLFWXUH ,' UHTXLUHG RU
5HTXHVW E\ DWWRUQH\ VLJQHG FRQVHQW IURP SDWLHQW DXWKRUL]LQJ UHOHDVH IURP
5HTXHVW E\ QH[W RI NLQ GHFHDVHG SDWLHQW SLFWXUH ,' DQG FRS\ RI GHDWK FHUWLILFDWH RU 3RZHU
$WWRUQH\ UHTXLUHG RU
5HTXHVW E\ LQGLYLGXDO SDWLHQW¶V 3RZHU RI $WWRUQH\ SLFWXUH ,' DQG FRS\ RI 3
RI $WWRUQH\ UHTXLUHG RU
5HTXHVW E\ SDUHQW OHJDO JXDUGLDQ RI PLQRU SLFWXUH ,' DQG SU
UHTXLUHG RU
3UHVHQWDWLRQ RI D YDOLG VXESRHQD RU FRXUW RUGHU
FRPSHWHQW MXULVGLFWLRQ RU
8QREMHFWHG WR 5HTXHVW IRU UHFRUGV LQ FLYLO OLWLJDWLRQ ODZVXLW